### FØRM PTO-1449

## LIST OF PATENTS AND OTHER ITEMS FOR APPLICATION DISCLOSURE STATEMENT

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NO. ATTY, DOC 266/118

SERIAL NO. Not Yet Assigned

APPLICANT:

Vincent P. Stanton, Jr., M.D., et al

FILING DATE:

GROUP: Not Yet Assigned

FEB 0 1 2002 & January 8, 2002

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
1	AA	4,701,419	10-20-87	Morris	436	89	11-26-85
	AB	4,879,214	11-7-89	Komher et al	435	91	11-15-88
	AC	5,003,059	3-26-91	Brennan	536	27	6-20-88
	AD	5,064,754	11-12-91	Mills	435	6	11-13-87
	AE	5,174,962	12-29-92	Brennan	422	78	6-20-89
	AF	5,187,085	2-16-93	Lee	435	91	9-28-90
	AG	5,221,518	6-22-93	Mills	422	62	8-13-91
	AH	5,332,666	7-26-94	Prober et al	435	91.5	10-22-91
	Al	5,424,184	6-13-95	Santamaria et al	435	6	5-8-91
	AJ	5,547,835	8-20-96	Köster	435	6	1-6-94
	AK	5,552,278	9-3-96	Brenner	435	6	7-25-94
	AL	5,580,733	12-3-96	Levis et al	435	6	9-6-94
	AM	5,605,798	2-25-97	Köster	435	6	3-17-95
	AN	5,622,824	4-22-97	Köster	435	6	2-10-95
1	AO .	5,691,141	11-25-97	Köster	435	6	6-6-95
_	AP	5,700,642	12-23-97	Monforte et al	435	6 ·	5-22-95
	AQ	5,830,655	11-3-98	Monforte et al	435	6	4-26-96
	AR	5,869,242	2-9-99	Kamb	435	6	9-18-95
7//	AS	5,939,292	8-17-99	Gelfand et al	435	91.2	8-5-97

FOREIGN PATENT DOCUMENTS								
EVALAINED   SUB						TRANS YES	LATION NO	
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INFORMATION DISCLOSURE STATEMENT

OTHER DOCUMENTS (Incheding Nation, Title, Date, Pertinent Pages, etc.) ΑU Andersson, B. et al., "Simultaneous shotgun sequencing of multiple cDNA clones," DNA Sequence, 1997, 7:63-70 Astatke, M., et al, "Deoxynucleoside triphosphate and pyrophosphate binding sites in the catalytically competent ternary A۷ complex for the polymerase reaction catalyzed by DNA polymerase I (Klenow fragment)," J. Biol. Chem., 1995, 270: 1945-54. Astatke, M., et al, "How E. coli DNA polymerase I (Klenow fragment) distinguishes between Deoxy-and Dideoxynucleotides," J. AW Mol. Biol., 1998, 278:147-165. Astatke, M., et. al. "A single side chain prevents Escherichia coli DNA polymerase I (Klenow fragment) from incorporating ΑX ribonucleotides." Proc. Nat. Acad. Sci. USA, 1998, 95:3402-3407 ΑY Barnes, W.M., "DNA Sequencing by Partial Ribosubstitution," J. Mol.Bio., 1978, 119:83-99 Barnes, W.M., "PCR amplification of up to 35-kb DNA with high fidelity and high yield from λ bacteriophage templates," Proc. ΑZ Natl. Acad. Sci. USA, 1994, 91:2216-2220 Beavis, R., et al "Matrix-assisted laser desorption/ionization mass spectrometry of biopolymers," Anal. Biochem., 1991, 63: BA 1193-1203 Chen, C. N., et al, "Ordered shotgun sequencing of a 135 kb Xq25 YAC containing ANT2 and four possible genes, including BB three confirmed by EST matches," Nucleic Acids Research, 1996, 24:4034-4041 Daugherty P.S., et al., "Antibody affinity maturation using bacterial surface display," Protein Enq 1998, 11:825-32, BC BD Delarue, M., et al., "An attempt to unify the structure of polymerases," Protein Eng., 1990, 3:461-467 Fichant, G. A. and Quentin, Y., "A frameshift error detection algorithm for DNA sequencing projects," Nucleic Acid Research, BE 23:2900-2908, 1995 Fu, D. J., et al., "Sequencing exons 5 to 8 of the p53 gene by MALDI-TOF mass spectrometry," Nature Biotechnology, 1998, BF 16:381-384. Giese, B., et al. "The chemistry of single-stranded 4'-DNA radicals; influence of the radical precursor o anaerobic and aerobic BG strand cleavage,\* Chemistry & Biology, 1995, 2 No. 6, 367-375 Giese, B., et al, "The mechanism of anaerobic, Radical-Induced DNA strand scission," Angew. Chem. Int. Ed. Engl. 1993, BH 32:1742-43. BI. Gish, G., et al "DNA and RNA Sequence Determination Based on Phosphorothioate Chemistry," Reports, 1988 1520-1522 BJ Gupta and Kool, "A self-cleaving DNA nucleoside," Chem. Commun. 1997, pp 1425 – 26 BK Harayama, S., "Artificial evolution by DNA shuffling," Trends Biotechnol., 1998, 16:76-82 Hentosh, P. et al, "Ploymerase chain reaction amplification of single-stranded DNA containing a base analog, 2-BL Chloroadenine,". Anal. Biochem., 1992, 201: 277-281. Huang, Y., \*Determinants of Ribose Specifity in RNA Polymerization: Effects of Mn2+ and Deoxynucleoide Monophosphate BM Incorporation into Transcripts," Biochemistry, 1997, 36:13718-13728. Joyce, C. M., "Choosing the right sugar: How polymerases select a nucleotide substrate," Proc. Natl. Acad. Sci. USA, 1997, BN 94:1619-1622 Kaczorowski, T., et al., "Assembly of 18-nucleotide primers by ligation of three hexamers: securncing of large genomes by BO primer walking," Anal. Biochem., 1994, 221:127-135; BP Khurshid, F., et al, "Error analysis in manual and automated DNA sequencing," Analytical Biochemistry, 208:138-143, 1993; Kirpekar, F., et al, "Matrix-assisted laser desorption-ionization mass spectrometry of enzymatically synthesized RNA up to 150 BQ kDa," Nucleic Acids Research, 1994, 22: No. 19 3866-3870

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		The same of the sa
		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)
Q	BR	Kristensen, T., et al, "An estimate of the sequencing error frequency in the DNA sequence databases," <u>DNA Sequencing</u> , 2:343-346, 1992;
	BS	Landegren, U. et al., Reading Bits of Genetic Information: Methods for Single-nucleotide Polymorphism Analysis, Genome Research 1998, 88:769-76.
	вт	Liu, D., et al., "Bi-stranded, multisite replication of a base pair between difluorotoluene and Adenine: confirmation by 'inverse' sequencing," Chem. Biol., 4:919-929, 1997;
	BU	Lodhi, M. A., et al., "High-quality automated DNA sequencing primed with hexamer strings," Genome Research, 1996, 6:10-18
	8V	Martin-Gallardo, et al., "Automated DNA sequencing and analysis of 106 kilobases from human chromosome 19q13.3," Nature Genetics, 1992 1:34-39.
	BW	Marx, A., et al, "Synthesis of 4'-C-Acylated Thymidines," Helv. Chim. Acta, 1966, 79:1980-94
	BX	Maxam and Gilbert, "A new method for sequencing DNA" Proc. Nat. Acad. Sci. USA, 74, 560-564 1977
	BY	Moran, S., et al., "A thymidine triphosphate shape analog lacking Watson-Crick pairing ability is replicated with high sequence selectivity," Proc. Natl. Acad. Sci. USA, 94:10506-10511, 1997.
	BZ	Nakamaye, K. et al, "Direct sequencing of polymerase chain reaction amplified DNA fragments through the incorporation of deoxynucleoside α-thiotriphosphates," Nucleic Acid Research, 1988, 16:9947-9959
	CA	Nelson, R.W., et al, "Volatilization of High Molecular Weight DNA by Pulsed Laser Ablation of Frozen Aqueous Solutions," Science 1989, Vol. 246, 1585-1587
	СВ	Nickerson, D.A., "DNA sequence diversity in a 9.7-kb region of the human lipoprotein ilipase gene," Nature Genetics, 1998, 223-240
	СС	Nordhoff, E. et al, "Comparison of IR- and UV-matrix-assisted laser desorption/ionization mass spectrometry of oligodeoxynucleotides," Nucleic Acids Research, 1994, 22: No. 13, 2460-2465
	CD	Nordhoff, E. et al, "Ion stability of nucleic acids in infrared matrix-assisted laser desorption/ionization mass spectrometry," Nucleic Acids Research, 1993, 21:No. 15 3347-3357
	CE	Olsen, D.B. et al, "[8] Direct sequencing of polymerase chain reaction products," Methods of Enzymology, Vol 218 pp 79-92, 1993
	CF	Ono, T., et al., "2'-Floro modified nucleic acids: polymerase-directed synthesis, properties and stability to analysis by matrix-assisted laser desorption/ionization mass spectrometry," Nucleic Acids Research, 1997, 25: 4581-4588.
	CG	Pedersen et. al., "A method for directing evolution and functional cloning of enzymes," <a href="Proc. Natl. Acad. Sci. USA, 1998">Proc. Natl. Acad. Sci. USA, 1998</a> , 95:10523-8
	СН	Pieles, U, et al, "Matrix-assisted laser desorption ionization time-of-flight mass spectrometry: a powerful tool for the mass and sequence analysis of natural and modified oligonucleotides," Nucleic Acids Research, 1993, 21:No. 14 3191-3196
	CI	Polesky et al., "Identification of residues critical for the polymerase activity of the Klenow fragment of DNA polymerase I from Escherichia coli*," J. Biol. Chem., 1990, 265:14579-91
	Cl	Pomerantz, S.C., et al., "Determination of oligonucleotide composition from Mass spectrometrically measured molecular weight." J. Am. Soc. Mass Spectrom., 1993, 4: 204-209.
	СК	Prober, et al, "A System for Rapid DNA Sequencing with Fluorescent Chain-Terminating Dideoxynucleotides," Science 1987, Vol. 238, 336-341
	CL	Sanger, et al., "DNA sequencing with chain-terminating inhibitors," Proc. Nat. Acad. Sci. USA, 74, 5463-5467 1977
M	СМ	Schneider, K. and Chait, B.T., "Increased stability of nucleic acids containing 7-deaza-quanosine and 7-deaza-adenosine may enable rapid DNA sequencing by matrix-assisted laser desorption mass spectrometry," Nucleic Acids Research, 1995, 23: 1570-1575

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		OTHER DOCUMENTS (Including Acritor, Title, Date, Pertinent Pages, etc.)
14	CN	Siebenlist, et al., "Contacts between <i>Escherichia coli</i> RNA polymerase and an early promoter of phase T7," <u>Proc. Natl. Acad.</u> Sci. USA, 1980, 77:122;
	со	Siuzdak, G. "The emergence of mass spectrometry in biochemical research," Proc. Natl. Acad. Sci., 1994, 91:11290-11297
	СР	Sousa, et al, "A mutant T7 RNA polymerase as a DNA polymerase," EMBO Journal Vol. 14 no. 18, pp. 4609-4621, 1995
	ca	Stemmer, W. P. C., "Rapid evolution of a protein in vitro by DNA shuffling," Nature, 1994, 370:389-391.
	CR	Tabor, S., et al., "DNA sequence analysis with a modified bacteriophage T7 DNA polymerase," <u>Proc. Natl. Acad. Sci. USA, 1987, 84:4767-4771.</u>
	cs	Venter, J. C., et al., "Shotgun sequencing of the human genome," Science, 1998, 280:1540-1542;
	СТ	Verdine, et al, "Immobilized Metal Affinity Chromatography of DNA," Dept. of Chemistry, Harvard University, 5/29/96
	CU	Verdine, et al., "Template-Directed Interference Footprinting of Cytosine Contacts in s Protein-DNA Complex: Potent Interference by 5-Aza-2'-deoxycytidine;" Biochemistry, 1992, 31:11265-11273
	. cv	Verdine, et al., "Template-Directed Interference Footprinting of Protein-Adenine Contacts," <u>JACS</u> , 1996, 118:6116-6120
	cw	Verdine, et al., "Template-Directed Interference Footprinting of Protein-Guanine Contacts in DNA;" <u>JACS</u> , 1991, 113:5104-5106
	сх	Verdine, et al., "Template-Directed Interference Footprinting of Protein-Thymine Contacts," <u>JACS</u> , 1993, 115: No.1 373-374
	CY	Voss, H., et al., "Automated low-redundancy large-scale DNA sequencing by primer walking," Biotechniques, 1993, 15:714-721
	cz	Wang, B. H., et al "Matrix-assisted laser desorption/ionization time-of-flight mass spectrometry of chemically modified oligonucleotides," Analytical Chemical, 1994, 66: 1918-1924
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	DB	Weber, J. L. "Human whole-genome shotgun sequencing," Genome Research, 1997, 7:401-409
	DC	Williams, E. R., "Tandem FTMS of Large Biomolecules," Anal. Chem., 1998, 70:179A-185A
M	DD	Wu, K., et al, "Time-of-flight mass spectrometry of underivatized single-stranded DNA oligomers by matrix-assisted laser desorption," <a href="Mailto:Anal.Chem">Anal.Chem</a> , 1994 66, 1637-1645

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